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SHIGA7.029APC

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hojo et al.  
Appl. No. : 10/553,083  
Filed : October 11, 2005  
For : POSITIVE RESIST  
COMPOSITION AND METHOD  
OF FORMATION OF RESIST  
PATTERN  
Examiner : Unassigned  
Group Art Unit: Unknown

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October 19, 2006

(Date)

Total number of pages including this sheet: 7

Neil S. Bartfeld, Ph.D., Reg. No. 39,901

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
P.O. Box 1450  
Office of Initial Patent Examination  
Customer Service Center  
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby request that the Official Filing Receipt, a copy of which is enclosed, be corrected to reflect the proper foreign application number (JP 2003-125242). Presently, the Filing Receipt incorrectly shows the foreign application number as JP 2003-12542. Also enclosed is a copy of the Preliminary Amendment filed on October 11, 2005.

Respectfully submitted,

KNOBBE, MARTENS, OLSON &amp; BEAR, LLP

Dated: October 19, 2006

By: 

Neil S. Bartfeld, Ph.D.

Registration No. 39,901

Agent of Record

Customer No. 20,995

(619) 235-8550

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DEA/NSB

Page 1 of 3



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/553,083	10/11/2006	1714	900	SHIGA7.029APC		11	1

CONFIRMATION NO. 2712

20995

KNOBBE MARTENS OLSON & BEAR LLP  
2040 MAIN STREET  
FOURTEENTH FLOOR  
IRVINE, CA 92614

## FILING RECEIPT

\*OC000000019725794\*

Date Mailed: 07/24/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Takuma Hojo, Kawasaki-shi, JAPAN;  
Kiyoshi Ishikawa, Kawasaki-shi, JAPAN;

Power of Attorney: The patent practitioners associated with Customer Number 20995.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/05402 04/15/2004

## Foreign Applications

JAPAN 200312542 04/30/2003

Projected Publication Date: 11/02/2006

Non-Publication Request: No

Early Publication Request: No

## Title

Positive resist composition and method of formation of resist patterns

## Preliminary Class

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003/007

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Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Hojo et al.
Appl. No.	:	Unknown
Filed	:	Herewith
For	:	POSITIVE RESIST COMPOSITION AND METHOD OF FORMATION OF RESIST PATTERNS
Examiner	:	Unknown
Group Art Unit	:	Unknown

## PRELIMINARY AMENDMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Prior to examination, please amend the above referenced 371 U.S. national phase application filed herewith as follows:

Amendments to the Specification begin on page 2 of this paper.

Remarks/Arguments begin on page 3 of this paper.

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Appl. No. : Unknown  
Filed : Herewith

**OCT 19 2006****AMENDMENTS TO THE SPECIFICATION**

**Immediately after the title of the specification on page 1, please insert the following paragraph:**

-- This application is the U.S. National Phase under 35 U.S.C. §371 of International Application PCT/JP2004/005402, filed April 15, 2004, which claims priority to Japanese Patent Application No. P2003-125242, filed April 30, 2003. The International Application was published under PCT Article 21(2) in a language other than English. --

**On page 23, line 18, please correct as indicated:**

example 1 and the resin (A3) in the example ~~3~~ 5 (molar ratio 20:80) as the

**On page 24, line 16, please correct as indicated:**

example ~~2~~ 3 and the resin (A3) obtained in the example ~~3~~ 5 (molar ratio 50:50) as the

Appl. No. : Unknown  
Filed : Herewith

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### REMARKS

The Specification has been amended to include as claim to priority. Page 23, line 18 and Page 24, line 16 have been amended to correct the example numbers referred to. These amendments are supported throughout the specification, for example: resih (A3) is obtained in example 5 (page 22, line 11), not in example 3; and resin (A2) was obtained in example 3 (page 21, line 4), not in example 2. No new matter has been added.

Should there be any questions concerning this application, the Examiner is respectfully invited to contact the undersigned at the telephone number appearing below.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 11 Oct. 2005

By: Daniel Altman  
Daniel E. Altman  
Registration No. 34,115  
Attorney of Record  
Customer No. 20,995  
(949) 760-0404

AMEND

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101005